

Practitioner's Docket No. 2002CH011

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ludwig HASEMANN

Application No.: 10/535,075

Group No.: 1755

Filed: May 13, 2005

Examiner: Klemanski, H.G.

For: Mono Azo Dyes

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*
(When using *Express Mail*, the *Express Mail* label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

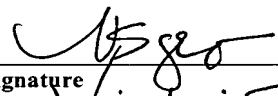
☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Address"
Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (571) 273-8300.

Date: 12-22-06



Signature
Vicki Sgro
(type or print name of person certifying)

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

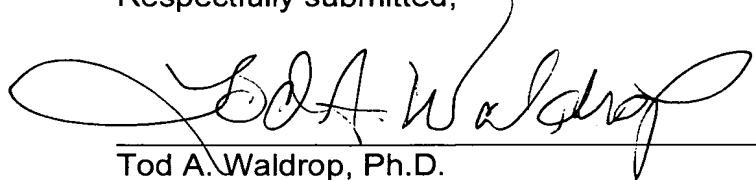
Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO/SB/08A.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT International Search Report and International Preliminary Examination Report for PCT/IB 03/05096, dated 02/13/2004 and 11/25/2004, respectively.
 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☒ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Tod A. Waldrop", written over a horizontal line.

Tod A. Waldrop, Ph.D.
Registration No. 56,260

CUSTOMER NO. 25,255

Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, North Carolina 28205
Telephone: 704/331-7732
Facsimile: 704/331-7707

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/535,075
				Filing Date	05/13/2005
				First Named Inventor	Hasemann
				Art Unit	1755
				Examiner Name	Klemanski, H.G.
Sheet	2	of	3	Attorney Docket Number	2002CH011

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	BA	CH 584 747 A5	02-15-1977	Ciba-Geigy, AG	See CA below	<input type="checkbox"/>
	BB	DE 30 18 342 A1	11-27-1980	Maekawa, et al.	See AB above	<input type="checkbox"/>
	BC	DE 34 33 957 A1	03-27-1986	Hagen, et al.	See CB below	<input type="checkbox"/>
	BD	DE 44 46 551 A1	03-14-1996	Niemoeller, et al.	See AN above	<input type="checkbox"/>
	BE	EP 0 164 196 A1	12-11-1985	Cousin, et al.	English	<input type="checkbox"/>
	BF	EP 0 331 170 A2	09-06-1989	Tanaka, et al.	English	<input type="checkbox"/>
	BG	EP 0 425 150 A2	05-02-1991	Halko	English	<input type="checkbox"/>
	BH	EP 0 755 332	01-29-1997	Liebler, et al.	See BM below	<input type="checkbox"/>
	BI	EP 0 875 393 A1	11-04-1998	Sato, et al.	English	<input type="checkbox"/>
	BJ	FR 2 387 267 A	11-10-1978	ICI, Ltd.	See CC below	<input type="checkbox"/>
	BK	GB 1 413 315 A	11-12-1974	Hoechst, AG	English	<input type="checkbox"/>
	BL	GB 1 594 002	07-30-1981	ICI, Ltd.	English	<input type="checkbox"/>
	BM	GB 1 597 959	09-16-1981	ICI, Ltd.	English	<input type="checkbox"/>
	BN	JP 2002/309117	10-23-2002	Satake	See CD below	<input checked="" type="checkbox"/>
	BO	WO 95/28284 A1	10-26-1995	Liebler, et al.	English	<input type="checkbox"/>
	BP					<input type="checkbox"/>
	BQ					<input type="checkbox"/>
	BR					<input type="checkbox"/>
	BS					<input type="checkbox"/>
	BT					<input type="checkbox"/>
	BU					<input type="checkbox"/>
	BV					<input type="checkbox"/>
	BW					<input type="checkbox"/>
	BX					<input type="checkbox"/>
	BY					<input type="checkbox"/>
	BZ					<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, MA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO				Complete if Known	
				Application Number	10/535,075
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	05/13/2005
				First Named Inventor	Hasemann
				Art Unit	1755
				Examiner Name	Klemanski, H.G.
				Attorney Docket Number	2002CH011
Sheet	3	of	3		

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	English abstract for CH 584 747 A5, 02-15-1977.	<input type="checkbox"/>
	CB	English abstract for DE 34 33 957 A1, 03/27/1986.	<input type="checkbox"/>
	CC	English abstract for FR 2 387 267 A, 11-10-1978.	<input type="checkbox"/>
	CD	English abstract and machine translation for JP 2002/309117, 10/23/2002.	<input type="checkbox"/>
	CE	P. Gregory "Topics in Applied Chemistry: High Technology Applications of Organic Colorants" Plenum Press, New York 1991, page 15-25.	<input type="checkbox"/>
	CF	Ink-Jet-Printing section of R.W. Kenyon in "Chemistry and Technology of Printing and Imaging Systems", Peter Gregory (editor), Blackie Academic & Professional, Chapman & Hall 1996, pages 113-138	<input type="checkbox"/>
	CG	PCT Search Report for PCT/IB 03/05096, dated 02/13/2004.	<input type="checkbox"/>
	CH	PCT International Preliminary Examination Report for PCT/IB 03/05096, dated 11/25/2004.	<input type="checkbox"/>
	CI		<input type="checkbox"/>
	CJ		<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, MA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Addendum

1.
 - PTO/SB/08A (3 pages) and
 - References (24 references).